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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JONATHAN N. HOWARTH, ET AL.

SERIAL NO.: 09/778,228

FILED: FEBRUARY 6, 2001

FOR: MICROBIOLOGICAL CONTROL IN  
AQUEOUS SYSTEMS

DATE: June 25, 2001

GROUP ART UNIT: 1615

EXAMINER: UNASSIGNED

Commissioner for Patents  
Washington, DC 20231

ATTENTION: OFFICE OF INITIAL PATENT EXAMINATION  
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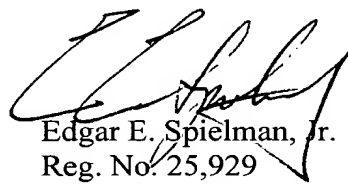
Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

The Filing Receipt with respect to the captioned application was received by Albemarle Corporation's Law Department on May 10, 2001. Upon review of the document, it was noted that information as to a priority application was not cited on the Filing Certificate. The U.S. Patent Office was not given such information at the time of filing of the subject application due to the fact that the priority application was filed, via Express Mail, on February 2, 2001, and the return postcard and Filing Receipt had not yet been received by the Applicants and, therefore, the Application Number was not known to Applicants. The correction is noted on the copy of the Filing Receipt attached hereto.

It is therefore requested that a "Corrected Filing Receipt" be issued.

Respectfully submitted,

  
Edgar E. Spielman, Jr.  
Reg. No. 25,929

Attorney for Applicant(s)

Telephone: (225) 388-7604



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/778,228	02/06/2001	1615	2300	SU-7155-A	2	40	6

Plus multiple  
CONFIRMATION NO. 5749

PIPPENGER, PHILLIP M. *PHILIP*  
ALBEMARLE CORPORATION  
451 FLORIDA BLVD.  
BATON ROUGE, LA 70801

FILING RECEIPT



\*OC00000006036898\*

Date Mailed: 05/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jonathan N. Howarth, Baton Rouge, LA; ✓  
Christopher J. Nalepa, Baton Rouge, LA; ✓  
Michael J. Sanders, Baton Rouge, LA; ✓

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/484,938 01/18/2000

AND 09/775,516 02/02/2001 ✓

Foreign Applications

If Required, Foreign Filing License Granted 05/02/2001

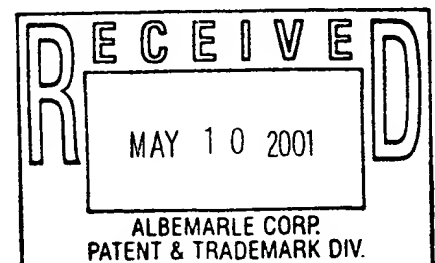
Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Microbiological control in aqueous systems ✓



**Preliminary Class**  
424

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**Data entry by : TRUONG, T**

**Team : OIPE**

**Date: 05/03/2001**

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/778,228	02/06/2001	Jonathan N. Howarth	SU-7148A

CONFIRMATION NO. 5749

PIPPENGER, PHILIP M.  
ALBEMARLE CORPORATION  
451 FLORIDA BLVD.  
BATON ROUGE, LA 70801

**FILE COPY**



\*OC00000006460629\*

Date Mailed: 08/22/2001

## RESPONSE TO REQUEST CORRECTED FILING RECEIPT

### Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ The enclosed Filing Receipt was returned to the Office as undeliverable. We are sending a second filing receipt to the address given.

- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

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*A copy of this notice MUST be returned with the reply.*

NH

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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY